

# **Original Research**

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# Comorbidities and Negative Prognostic Factors in Lebanese Patients Presenting with Acute Decompensated Heart Failure with Preserved Ejection Fraction: A Multicenter Retrospective Study

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# Abstract

*Background:* Congestive heart failure is responsible for repeated hospital admissions. It is classified into three types: (1) Heart Failure with reduced ejection fraction, (2) Heart failure with mid-range ejection fraction, and (3) Heart failure with preserved ejection fraction (HFpEF). It is essential to describe the risk factors of HFpEF patients' profiles as targeting them is crucial for management.

*Aim:* Our retrospective study aims to identify the clinical and echocardiographic characteristics associated with HFpEF and its mortality among hospitalized patients with acute decompensated heart failure.

*Methods:* 390 patients of all age groups presenting with acute heart failure decompensation at Mount Lebanon Hospital (MLH) and Middle East Institute of Health (MEIH, Bsalim) were recruited retrospectively between January 2014 and December 2016. We included 133 cases of HFpEF and collected data on each case including: baseline characteristics and comorbidities, electrocardiograms, laboratory studies, and echocardiographic parameters.

*Results:* The 133 Lebanese patients having HFpEF were elderly (76  $\pm$  10 years), with predominantly female gender (56%). Hypertension (87.96%) and diabetes (53.38%) were the most frequently reported comorbidities. The overall in-hospital mortality was 4.5%. Data was compared between living and deceased patients and the frequency of valvular heart disease (p=0.005) and chronic kidney disease (p=0.018) was significantly higher among deceased patients. Right ventricular (RV) dilation on echocardiography was significantly correlated with mortality. Elevated troponin, increased creatinine, hypochloremia, hyponatremia, and anemia were all lab markers associated with increased mortality (p<0.05).

*Conclusion:* Patients with HFpEF represent 43.5% of all decompensated HF cases, with chronic kidney disease, valvular heart diseases, anemia and troponinemia, being the predominant risk factors for adverse clinical outcomes. HFpEF remains an enormous burden on cardiologists for appropriate evaluation, triage, and management.

Keywords: Heart failure, heart failure preserved ejection fraction, HFpEF, acute decompensated heart failure, mortality, Lebanon

Abbreviations: BMI: Body Mass Index; BNP: Brain Natriuretic Peptide; CAD: Coronary Artery Disease; CHF: Congestive Heart Failure; CKD: Chronic Kidney Disease; COPD: Chronic Obstructive Pulmonary Disease; DD: Diastolic Dysfunction; DHF: Diastolic Heart Failure; DM: Diabetes Mellitus; HF: Heart Failure; HFpEF: Heart Failure with preserved Ejection Fraction; HErEF: Heart Failure with reduced Ejection Fraction; HFmrEF: Heart Failure with midrange Ejection Fraction; HTN: Hypertension; ICD-10: International Classification of Diseases, Tenth Revision; LA: Left Atrium; LV: Left Ventricle; LVEF: Left Ventricular Ejection Fraction; PAH: Pulmonary Artery Hypertension; SDH: Systo-diatolic HTN; TTE: Transthoracic Echocardiogram; VHD: Valvular Heart Disease.

## Introduction

Congestive heart failure (CHF) is а pathophysiological state that results in the heart's inability to pump sufficient blood to meet the organism's metabolic demands [1]. The prevalence of Heart Failure (HF) has increased all over the world, with 23 million cases of HF reported worldwide and 6.2 million in the United States in the year of 2020 [2]. There was also an increase in the rate of hospitalization of HF patients. The prevalence increases with age, equally in both males and females [2].

HF is classified into three types: (1) heart failure with reduced ejection fraction (HFrEF, EF: <40%), (2) heart failure with preserved ejection fraction (HFpEF, EF $\ge$ 50%), and heart failure with mid-range ejection fraction (HFmrEF, EF: 40-49%). Among all patients with HF, 40- 60 % of them have a normal or near-normal Left Ventricular Ejection Fraction (LVEF) [3].

Diastolic dysfunction (DD) is a condition of impaired myocardial relaxation. It does not necessarily mean that HF is present. DD is not unique to diastolic HF, though it is nearly universal in HFrEF [4]. HFpEF is a cardiologic syndrome composed of diastolic heart failure (DHF), VHD, pericardial diseases, and right heart failure. DHF patients have HF signs and symptoms with a normal or slightly abnormal LVEF and LV volume, as well as abnormal LV filling and elevated filling pressures [5]. Echocardiography is the most used diagnostic test and is the gold standard. Diastolic stress which done testing, is by exercise echocardiography, is used to diagnose the early stages of HFpEF, where patients seem asymptomatic at rest but present with dyspnea

at exertion [4]. One-third of HF patients have the diastolic type, and HFpEF is more prevalent among females [3]. Patients with advanced DD have structural heart abnormalities that increase the risk of other cardiovascular events and lead to a lower quality of life even in those that lack pertinent symptoms. Patients with DD have an 8 to 10 times higher risk of death within 5 years than patients with normal filling [6]. Patients with normal LVEF and elevated pulmonary artery systolic pressure (PASP) are considered as HFpEF until proven otherwise, especially because HFpEF is more prevalent than pulmonary artery hypertension (PAH) [4]. In one report by Lam et al., pulmonary hypertension, defined as PASP>35 mmHg, was the only echocardiographic parameter associated with mortality in HFpEF [5].

In contrast to HFrEF, there is still a lack of knowledge of the exact pathophysiology of HfpEF, its risk factors and the effective medical treatments to improve quality of life [7]. Comorbidities such as hypertension (HTN), Diabetes Mellitus (DM), and dyslipidemia (DL) adversely affect HFpEF, and thus the aggressive control of such diseases improves the overall outcomes [4]. HF patients usually have several comorbid illnesses that adversely influence quality of life. DM, HTN, Obesity (elevated Body Mass Index, BMI), chronic obstructive pulmonary disease (COPD), chronic kidney disease (CKD), coronary artery disease (CAD), and anemia are the most prevalent and reported comorbidities. Electrolyte disturbances have been a subject of interest in HF patients, where data has shown that hypochloremia and hyponatremia were associated with higher morbidity and mortality

[7]. A similar association was seen with high serum Troponin level.

In light of the complex risk factor profile of patients with HFpEF and the absence of evidence-based, effective therapy for HFpEF, it is crucial to accurately characterize the comorbidities associated with HFpEF and its outcomes in specific populations in order to target them. Therefore, we conducted a retrospective study to provide a detailed clinical assessment of patients with HFpEF hospitalized for acute decompensated heart failure. The study involved two big centers in Lebanon, Mount Lebanon Hospital (MLH) and Middle East Institute of Health (MEIH), between the 1st of January 2014 and the 31st of December 2016.

# Methods

a) Study Participants

We conducted a retrospective study, including Lebanese patients of all age groups with symptoms related to HF. ICD- 10 Codes of acutely decompensated heart failure were registered by the Mount Lebanon Hospital (MLH) and Middle East Institute of Health (MEIH) between the 1st of January 2014 and the 31st of December 2016 and were used for the selection of cases. In total, we reviewed 390 medical records.

The following were the inclusion criteria: Lebanese patients from all age groups admitted to the hospital with at least one symptom related to HF and having the "acutely decompensated HF ICD-10 code" mentioned on their discharge sheet. Exclusion criteria: Patients who had TTE results showing EF<50%, those with current preserved EF (>50%) but having a history of reduced EF (<50%); and patients having disorders known to be associated with diastolic dysfunction such as infiltrative heart disease, hypertrophic cardiomyopathy, restrictive cardiomyopathy, cor pulmonale, constrictive pericarditis, severe stenosis of the mitral valve or valvular lesions such as acute failure of the mitral valve that necessitated an urgent open-heart procedure. The study included 133 patients, and there was a two-year follow up.

#### b) Ethical Considerations

All medical charts were de-identified. Patients' anonymity and confidentiality were maintained

throughout the study. IRB approval was obtained from both hospital centers.

c) Data collection

We retrieved the following data from the medical charts of the patients selected for the study: (1) Clinical determinants of HF (dyspnea, orthopnea, LLE, JVD), (2) Chest X-ray findings (lung congestion, cardiomegaly), (3) ECG analysis (ischemic changes, atrial fibrillation), (4) TTE findings done within 48-72 hours of admission (EF, DD grade, LVH [defined as interventricular septal thickness and posterior wall thickness ≥12mm] [8], left atrium dilatation [LA volume index >35 mL/m2], elevated PASP [>35mmHg], right heart dilatation [RV base 42mm and RV mid cavity >35mm]) [9]. Comorbidities (smoking, HTN, DM, DL, COPD, CKD, anemia, VHD, pulmonary hypertension [defined as PASP > 35 mmHg]), age, sex, and BMI were all collected and tabulated from the patients' medical files at both hospitals. Furthermore, laboratory studies collected during the first 24-48 hours of admission included hemoglobin, hematocrit, Na<sup>+</sup>, K<sup>+</sup>, Cl<sup>-</sup>, Creatinine, BUN and Troponin I. We retrieved such data from the patients' medical charts. Cases with high WBC (> 12 x  $10^{9}/L$ ), increased CRP (> 5 mg/L), elevated creatinine (> 1 mg/dL), low Na<sup>+</sup> ( $\leq$  135 mEg/L), low K<sup>+</sup> (< 3.5 mEq/L), low  $Cl^{-}$  ( $\leq$  96 mEq/L), and low hemoglobin (< 12 g/dL in females, and < 13 g/dL in males) were all noted. We also recorded the number of admissions with elevated troponin I (> 0.1 ng/mL).

#### d) Statistical Analysis

Analyses for the cross-sectional study was performed for all variables. Continuous variables were noted as mean values and standard deviations, and number and percentage for categorical variables. All analyses were two-sided, and significance was judged at p < 0.05 with corresponding Confidence Intervals (CIs) of 95%.

The primary study outcome was in-hospital mortality. Differences in the distribution of patients' signs, symptoms, laboratory and imaging findings, and echocardiography data were compared using Pearson's Chi-square test for categorical variables and t-test for normally distributed continuous variables according to the patients' survival status. Analyses were done

Patient characteristics	All Patients (n=133)	Alive (n=127)	Deceased (6)	p-value
Age, mean (SD)	76 (10)	76 (10)	74 (9)	0.653
Male gender, n (%)	58/133 (43.6%)	55/127 (43.3 %)	3/6 (50 %)	0.747
Smoking history	58/133 (43.6%)	56/127 (44.1 %)	2/6 (33.33 %)	0.603
Dyslipidemia, n (%)	63/133 (47.3%)	61/127 (48 %)	2/6 (33.33 %)	0.481
Hypertension, n( %)	117/133 (87.9%)	113/127 (89 %)	4/6 (66.67 %)	0.101
Coronary artery disease, n (%)	43/133 (32.2%)	42/127 (33.07 %)	1/6 (1.167 %)	0.226
Chronic kidney disease, n (%)	34/133 (25.5%)	30/127 (23.62 %)	4/6 (66.67%)	0.018*
Chronic obstructive lung disease, n (%)	28/133 (21%)	26/127 (20.5 %)	2/6 (33.33 %)	0.45

71/133 (53.3%)

28/133 (21%)

29.13 (5.4)

 Table 1. Sample t-test were used to compare the mean age and BMI between deceased and alive HFpEF patients, whereas a Chi-square was used for the remaining dichotomous categorical characteristics.

using the Statistical Package of Social Science (IBM SPSS, version 22).

#### **Results**

Diabetes mellitus, n (%)

Valvulopathy, n (%)

Mean BMI (SD)

Out of a total of 390 medical files of Lebanese patients admitted for acutely decompensated heart failure from two medical centers in Lebanon (MEIH and MLH) between the 1<sup>st</sup> of January 2014 and 31<sup>st</sup> of December 2016, 133 cases had HFpEF (49%). The rest of the subjects were 216 cases of HFrEF, 39 cases of HFmrEF, 1 case of constrictive pericarditis, and 1 case of severe mitral stenosis; these subjects were excluded from our study (Fig. 1).

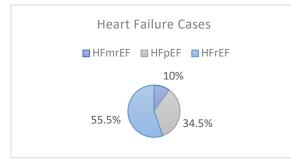


Figure 1: Prevalence of different subtypes of HF among patients hospitalized for acute decompensated heart failure in 2 medical centers in Lebanon, admitted between January 2014 and December 2016

Of the 133 cases of HFpEF, 75 patients were females (56.4%), 6 died during their hospital

admission (4.5%), and the mean age was 76+/-10 years. Further, 37.1% of the population had a BMI in the overweight category (Fig. 2).

3/6 (50 %)

4/6 (66.7 %)

22.22 (3.91)

0.865

0.005\*

0.003\*

68/127 (53.5 %)

24/127 (18.9 %)

29.5 (5.2)

Figure 3 outlines the major demographic, social characteristics, and comorbidities in survived deceased patients HFpEF. and with Hypertension and diabetes mellitus were the commonly reported comorbidities, most encountered in 87.7% and 53.38% of HFpEF patients, respectively. However, only chronic kidney disease and valvular heart disease were significantly more prevalent in deceased HFpEF patients (66.67% vs. 23.63%, p = 0.018) (Table 1).

Upon presentation, the most common findings in patients with HFpEF were: Dyspnea (91%, 121/133), vascular congestion (78.94%, 105/133) and cardiomegaly on CXR (60.9%, 81/133). We outlined the other findings in Table 2. However, there was no statistical difference in the presentation findings between HFpEF patients who had survived and those who had passed away at the end of the two-year follow-up (Table 2).

Regarding echocardiographic parameters, left atrial dilation and left ventricular hypertrophy were prevalent among HFpEF patients and were observed in 62% and 61% of patients, respectively. However, only right ventricular

	All Patients (n=133)	Alive (n=127)	Dead (n=6)	p-value
Dyspnea	121/133 (91 %)	115/127 (90.5 %)	6/6 (100 %)	0.43
LLE	45/133 (33.8 %)	43/127 (33.8 %)	3/6(50 %)	0.553
Orthopnea	14/133 (10.5 %)	13/127 (10.2 %)	1/6 (16.67 %)	0.616
Crackling	75/133 (56.4 %)	71/127 (55.9 %)	4/6(33.33 %)	0.603
Lung congestion on CXR	105/133 (78.9 %)	99/127 (77.9 %)	6/6 (100 %)	0.196
Cardiomegaly on CXR	81/133 (60.9 %)	77/127 (60.63 %)	4/6 (50 %)	0.767
Atrial fibrillation on ECG	19/133 (14.3 %)	17/127 (13.38 %)	2/6 (33.33 %)	0.172
Ischemic changes on ECG	5/133 (3.7 %)	5/127 (3.9 %)	0/6 (0%)	0.620

Table 2. Prevalence of several clinical and imaging characteristics among patients with HFpEF

Table 3. Prevalence of several echocardiographic parameters among patients with HFpEF

	All Patients (n=133)	Alive (n=127)	Dead (6)	p-value *
High PASP (>35mmHg)	61/133 (45.8%)	58/127 (45.6 %)	3/6 (50 %)	0.835
RV dilation	25/133 (18.79%)	22/127 (17.3 %)	3/6 (50 %)	0.045
LA dilation	83/133 (62.4%)	79/127 (62.2 %)	4/6 (33.3 %)	0.825
LVH	82/133 (61.65%)	78/127 (61.4 %)	4/6 (33.3 %)	0.796
EF (%), mean (SD)	58.44 (7.77)	58.5 (7)	57.1 (5)	0.381



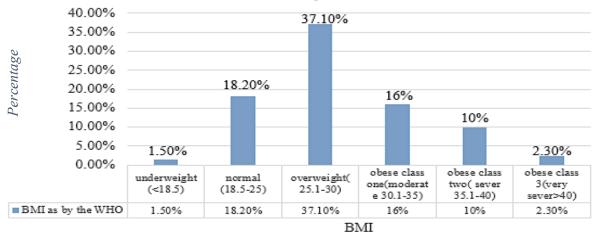
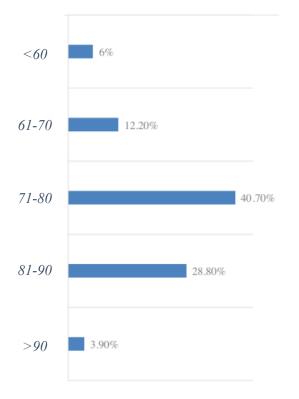


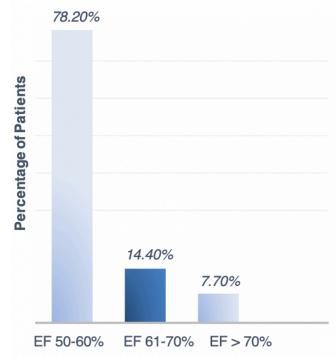
Figure 2. Percentage of HFpEF cases falling into the 6 categories of BMI as listed by the WHO

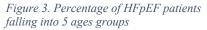
	All Patients (n=133)	Alive (n=127)	Dead (6)	p-value
Na (mEq/L), mean (SD)	137.4 (4.46)	137 (4)	136 (5)	0.616
K (mEq/L), mean (SD)	4.5 (2.94)	4.59 (3)	4 (0.6)	0.629
CI (mEq/L ) , mean (SD)	98.94 (5.5)	99 (5.4)	97.33 (6.94)	0.466
Cr (mg/dL), mean (SD)	1.85 (1.74)	1.84 (1.76)	2.23 (1.16)	0.593
BUN (mg/dL), mean (SD)	39.43 (29.41)	38.65 (28.41)	55.83 (46.55)	0.163
Troponin I level in ng/ml, mean (SD)	0.061 (0.16)	0.046 (0.075)	0.381 (0.66)	<0.0001*
Hemoglobin, mean (SD)	11.41 (2.24)	11.48 (2.23)	9.8 (1.77)	0.087
High troponin, n (%)	24/133 (18%)	21/127 (16.5 %)	3/6 (50 %)	<0.0001*
High creatinine, n (%)	83/133 (62.4%)	78/127 (61.4 %)	5/6 (83.3 %)	0.001*
Hyponatremia, n (%)	26/133 (19.5%)	23/127 (18.11%)	3/6 (33.3 %)	0.16
Hypochloremia, n (%)	53/133 (39.8%)	49/127 (38.6 %)	4/6 (66.7 %)	<0.0001*
Anemia, n (%)	81/133 (60.9%)	76/127 (60 %)	5/6 (83.3 %)	<0.0001*

 Table 4: Chi-square was used for the high troponin, anemia, hypochloremia and natremia while sample t test was used to compare else variables.

# Age Groups







*Figure 4. Percentage of patients having EF % falling within 3 separate ranges, 50-60%, 61-70% and >70%* 

dilation was statistically more prevalent in deceased patients. (50% vs. 17.32%, p = 0.045, respectively) (Table 3).

Although the mean sodium, potassium, chloride, creatine, hemoglobin, and BUN levels were not statistically different between survived and deceased HFpEF patients, anemia and hypochloremia were more prevalent in deceased individuals (83.3% vs. 59.8%; 66.67%vs 38.58%; respectively, p<0.0001). High creatinine was also more prevalent among HFpEF patients who passed away, and the mean troponin level was almost 9-folds higher in the deceased group ( $0.381\pm0.66$  vs.  $0.046\pm0.075$ , p<0.0001) (Table 4).

Table 4 shows that high troponin, high creatinine, low chloride, and anemia were correlated with mortality. In addition, elevated troponin, increased creatinine, anemia, low chloride, and low sodium in serum are associated significantly with hospital readmission (p<0.05).

## Discussion

In this study, we aimed to provide a detailed risk factor profile and describe the signs, symptoms, and imaging findings of patients with HFpEF. We also aimed to report on their clinical outcomes following hospital admission with acute decompensated heart failure. This is the first study of its kind done on a multicenter cohort of Lebanese patients.

The results of this study are compatible with previous studies such as the Karolinska Rennes (KaRen) study and the Prevention of Renal and Vascular End-stage Disease (PREVEND) study. The KaRen study is a prospective observational study done to characterize heart failure patients with preserved ejection fraction (HFpEF) and to identify prognostic factors for long-term mortality and morbidity. The study looked at a French and Swedish sample of 539 patients between 2007 and 2011 [32]. The PREVEND study is a community-based, middle-aged 11-year cohort study in the Netherlands. It recruited 8592 subjects to study the predictive value of risk factors and established cardiovascular biomarkers on new-onset HFrEF vs. HFpEF [33].

HFpEF represents 40% of cases of HF and is more prevalent and severe in females. Its pathophysiology is ill-defined compared to HFrEF, but both types are serious conditions with similar outcomes profile [3]. There are several risk factors of HFpEF reported in the literature. DM is a well-known indolent factor in HF pathogenesis and myocardial diseases and is associated with a worse prognosis, more frequent hospital admissions, and greater HF severity. It is associated with an increased rate of HF in both males and females. Still, the severity and frequency of cardiovascular complications proved to be gender-related (occurring in females more than in males) [10]. Hypertension is an important factor that leads to HF if not controlled early. It yields a mixture of LV remodeling, myocardial fibrosis of variable degrees, and LV DD. HF patients with HTN have an increased risk of hospitalization due to HF decompensation, with a notable increase in total mortality rates. Therefore, prompt and precise management for hypertension must be implemented [11]. In this study, HTN and DM were very prevalent but not associated with mortality among HFpEF patients.

The distribution of age and gender in this population (high mean age and predominantly female population) is very similar to what is reported in the literature. Because gender affects cardiac remodeling, the LV tends to hypertrophy more and dilate less in women than in men when exposed to high overload pressure [31]. In our study, CKD was more prevalent among deceased patients with HFpEF. CKD was found in 25.26% of our HFpEF patients, which is less than what was noted in a Northwestern University study (48%) [37]. CKD was still a significant death predictor (p=0.018), as was reported in the Northwestern study [37]. CKD leads to derangements in vascular physiology which in turn yield a bad cardiovascular outcome through abnormal coagulation and fibrinolytic systems, dysfunction of the endothelium, loss of balance between endothelin and nitrogen oxide, electrolyte disturbances that can lead to arrhythmia, and over activation of the sympathetic nervous system and reninangiotensin-aldosterone systems [17]. Furthermore, VHD was noted in 21% of cases and was associated with mortality among the study patients, which is concordant with results from other studies showing a prevalence of 8 % [35], and 13 % [36].

Electrolyte disturbances have been and remain a subject of interest in patients with HFpEF.

Hyponatremia is a known predictor of poor outcomes in HF patients. [21]. The KorAHF analysis showed that hyponatremic patients have increased in-hospital mortality rates [22]. This was also supported by the Beta-Blocker Evaluation of Survival (BEST) trial, which showed that hyponatremia and hypochloremia were associated with more significant morbidity and mortality, although serum chloride remained the strongest predictor of mortality [23]. This study found that the prevalence of hospital death was higher in HFpEF patients with high troponin level, hypochloremia. elevated creatinine level, hyponatremia, and anemia. Our findings are concordant with those reported in the KaRen study and the BEST trial [32], where hyponatremia and hypochloremia were found to be markers of worse prognosis, respectively. Moreover, a prospective study by Douglas et al. on 363 patients hospitalized for decomposed HFpEF reported an elevated troponin level to be associated with short, intermediate, and longterm mortality [38].

CAD is associated with augmented risk of HFpEF and induces DD by two primary mechanisms: (1) energy-dependent impairment of active relaxation by ischemia and (2) alteration of passive relaxation due to fibrosis. DD also leads to myocardial ischemia by interfering with diastolic coronary filling [4]. HFpEF patients with CAD proven by angiography had a more significant decrease in ejection fraction and increased mortality than HFpEF patients without CAD [18]. Thus, identification of CAD is systematically performed in HFpEF patients coronarv angiography using unless contraindicated. Also, revascularization can lead to cardiac function preservation and better outcomes [4]. This is evident in our study, as elevated troponin levels have been identified in patients with HFpEF. Potential contributing mechanisms include subendocardial ischemia, inflammatory cytokine activation, and increased wall stress leading to myocyte necrosis with troponin release [24]. High troponin was related to higher in-hospital death and greater length of stay independently of other risk factors [25].

In terms of echocardiogram parameters, most of our patients had LA dilation (62.24%) and LVH (61.65%), while the only parameter found to be a predictor of worse prognosis and death was RV dilation (p=0.045). This is in agreement with a community-based study done in 2014 [33]. Global longitudinal strain (GLS), a parameter measured through 2-dimensional speckle tracking echocardiography, has become a novel sensitive tool for assessing left ventricular (LV) function. GLS is increased with LV dysfunction, while traditional markers may be normal. It is an incredible prognostic factor and useful for predicting any future deterioration or HF decompensations. This prediction was found to be more useful in males rather than females [39, 40].

This study has several limitations. It included HFpEF cases from 2 centers in Lebanon, with only 6 deaths reported, which may affect prognostic mortality factors. We retrieved data retrospectively from the residents' and physicians' notes and ICD10 codes. Some information was absent from the charts. Therefore only 33 patients were reported to have DD.

Moreover, although it would have been informative, the GLS is not routinely measured and reported by Lebanese cardiologists. More laboratory variables were intended to be included (i.e., pro-BNP, thyroid function tests, Calcium levels, HbA1C, uric acid, and lipid profiles), but this data was often not present in the charts as well. Finally, the analyses are only descriptive, and any correlations cannot be interpreted as causal relationships.

#### Conclusion

In conclusion, HFpEF remains a challenging diagnosis after excluding all non-cardiac causes for heart failure. We have shed light on the essential comorbidities, paraclinical findings, and echocardiographic characteristics associated with increased HFpEF patient mortality. Carefully and thoroughly analyzing these findings may help physicians assess and treat each HFpEF patient. However, drawing a definite conclusion and establishing a proper HFpEF treatment paradigm will require more extensive, multi-centric, prospective trials.

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